

# ESCOLA BAHIANA DE MEDICINA E SAÚDE PÚBLICA CURSO BIOMEDICINA

## MARINA SILVEIRA CUCCO

# CARACTERIZAÇÃO MOLECULAR DAS REGIÕES GENÔMICAS hbz E LTR DO HTLV-1 EM PACIENTES COM DIFERENTES MANIFESTAÇÕES CLÍNICAS

SALVADOR – BA 2020

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Trabalho de Conclusão de Curso apresentado à Escola Bahiana de Medicina e Saúde Pública, como parte dos requisitos para obtenção do título de Bacharel em Biomedicina.

Orientador: Prof<sup>a</sup>. Dr<sup>a</sup>. Luciane Amorim Santos

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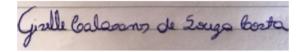
Este Trabalho de Conclusão de Curso foi julgado adequado à obtenção do grau de Bacharel em Biomedicina e aprovada em sua forma final pelo Curso de Biomedicina da Escola Bahiana de Medicina e Saúde Pública.

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A minha família dedico este trabalho pois a crença deles no meu sucesso fez toda a diferença.

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"Sai de casa sempre assim que der Mas sai sem esquecer que a sua casa é sempre aqui." Outro Eu

#### **RESUMO**

O Vírus Linfotrópico de Células T Humanas do tipo 1 (HTLV-1) afeta cerca de 5 a 10 milhões de pessoas no mundo, que podem se manter assintomáticas (AC) ou evoluírem para doenças inflamatórias e/ou patologias severas, como paraparesia espástica tropical/mielopatia associada ao HTLV-1 (HAM/TSP). O genoma viral é flanqueado por regiões LTR e contém genes estruturais, genes responsáveis pelas enzimas virais e uma região pX que codifica proteínas acessórias e regulatórias, a exemplo de Tax, p12 e HBZ. O objetivo deste estudo é descrever a caracterização molecular das regiões de hbz e LTR a partir de amostras de pacientes infectados pelo HTLV-1. Foram selecionadas 15 amostras de pacientes AC e HAM/TSP do CHTLV da Escola Bahiana de Medicina e Saúde Pública. Foi realizada PCR de todas as amostras, os produtos foram checados através de gel de agarose e, os que amplificaram pelo menos uma região de interesse, foram purificados e sequenciados (Sanger). Além disso, foram selecionadas 571 sequências de hbz e 151 de LTR publicadas nos bancos de dados Genbank e HTLV-1 Molecular Epidemiology Database. O programa Geneious Prime, foi utilizado para alinhamento, identificação das mutações nas duas regiões de interesse e mapeamento de sítios de transcrição (TFBS) de LTR. Para a região de hbz, o perfil físico-químico das sequências e sítios de modificação pós-traducionais foram analisados. A genotipagem das sequências foi definida através de árvore filogenética, usando a região LTR. Neste artigo, são descritas duas mutações não-sinônimas de HBZ, V17M e R121Q, encontradas em frequências diferentes nas sequências de AC e HAM/TSP quando comparados. Essas mutações alteraram propriedades físico-químicas e podem ter um papel no estabelecimento da sintomatologia. Dentre os TFBS descritos, alterações nos sítios Sp1 foram encontrados tanto no CHTLV quanto nos bancos de dados e podem ser importantes na expressão de HBZ. Este trabalho identifica possíveis fatores de diferenciação das manifestações clínicas entre diferentes pacientes infectados por HTLV-1, como as mutações V17M e R121Q e a exclusão de TFBS por conta de mutações, a exemplo da G418A.

Palavras-chave: caracterização molecular, hbz, LTR.

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## 1. Artigo Científico

## MOLECULAR CHARACTERIZATION OF HTLV-1 GENOMIC REGIONS *hbz* AND LTR FROM PATIENTS WITH DIFFERENT CLINICAL OUTCOMES

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Running title: HBZ and LTR molecular characterization

Key words: HTLV-1, molecular characterization, HBZ, LTR, mutation, TFBS

#### Abstract

Human T-Cell Lymphotropic Virus Type 1 (HTLV-1) affects from 5 to 10 million people around the world, which can remain asymptomatic (AC) or evolve to inflammatory disease and severe pathologies as HTLV-1-associated myelopathy/tropical spastic paraparesis (HAM/TSP). The virus genome is flanked by LTR regions and contains structural genes, genes responsible for coding viral enzymes and pX region, which is responsible for coding regulatory proteins like Tax, p12, HBZ. In this study we aim to describe the molecular characterization of hbz and LTR regions from HTLV-1 infected patients. Fifteen samples from AC and HAM/TSP patients available in HTLV Center (CHTLV) from Bahia School of Medicine and Public Health. PCR technique was used with all samples, amplicons were checked in an agarose gel and the samples that amplified at least one interest regions (hbz and/or LTR) were purified and sent to Sanger sequencing. In addition, 571 hbz and 151 LTR sequences were selected from Genbank e HTLV-1 Molecular Epidemiology Database. Geneious prime was used to perform alignment, variant calling and map transcription factor binding sites (TFBS). Physicochemical profile and post translation sites from hbz mutated sequences were analyzed. Genotyping was performed through phylogenetic tree using LTR sequences. Here is described two non-synonymous mutations in HBZ protein, V17M and R121Q, that were found in different frequencies in AC sequences when compared to HAM/TSP. These mutations alter some of the protein physicochemical characteristics and may be important to clinical status establishment. From the described TFBS, alterations in Sp1 binding sites, found in sequences from CHTVL and databse, can be an important factor to HBZ expression. This study identifies possible factors involved in the clinical status differentiation in HTLV-1 patients, as V17M and R121Q mutation and the abrogation of TFBS caused by LTR mutation as G418A.

#### Introduction

The Human T-Cell Lymphotropic Virus Type 1 (HTLV-1) infection was first observed in Japan in 1977 in patients with Adult T-Cell Leukemia/Lymphoma, known as ATLL<sup>(1)</sup>. But the virus was only isolated and described in 1980 – being the first human retrovirus described – from an American T-Cell Cutaneous Lymphoma patient<sup>(2)</sup>.

HTLV-1 is a worldwide distributed pathogen affecting from 5 to 10 million people<sup>(3)</sup>. People infected with HTLV-1, despite not knowing why, can remain asymptomatic (AC) or can evolve to different symptomatology like Infective dermatitis associated with the HTLV-1 (IDH), HTLV-1-associated myelopathy/tropical spastic paraparesis known as HAM/TSP (0.25-3.8% of patients) and ATLL (2-5% of patients)<sup>(4)</sup>. It is estimated that there are 2.5 million people are infected with HTLV-1 in Brazil, which characterizes the country as one with the highest absolute number of cases worldwide<sup>(5)</sup>. North and Northeast regions of Brazil are the most affected by HTLV-1<sup>(3)</sup>, with emphasis to the city of Salvador, capital of Bahia<sup>(6)</sup>.

The HTLV-1 genome is composed by structural genes as *gag*, *pol*, *env* and a non-structure region known as pX, flanked by two regions known as long terminal repeat (LTR). The pX region, close to 3' LTR, encodes regulatory and accessory proteins as p12, p8, p30, Tax, Rex and HBZ<sup>(7)</sup>.

The LTR regions are divided in 3 subregions: U3, R e U5, whose sequences contain information that are extremely important to the genetic material reverse transcription, replication and genome integration<sup>(8)</sup>. Genome integration can occur at any site of the host genome and may cause interruption and inactivation of several host genes. The LTR sequences can also participate in the coordination of viral genes expression after the genome is integrated<sup>(9)</sup>. It has been detected that LTR mutations can be related to the increase of proviral load and possible development of HAM/TSP<sup>(10)</sup>.

Proviral load (pVL) correspond to the amount of peripheral blood mononuclear cells (PBMC) that have HTLV-1 provirus integrated at the host genome<sup>(11)</sup>. It has been described the pVL it may be a marker for the disease progression in the patients, being the higher pVL correlated with HAM/TSP and ATLL development, but the studies are controversial<sup>(12–14)</sup>.

HTLV-1 bzip domain (HBZ), named after the bzip domain of the protein, was first described in 2002 and it is encoded by the minus-strand RNA that is transcribed in the antisense direction by a promoter present in the 3' LTR<sup>(15)</sup>. For what is known, HBZ is a regulatory protein that can induce proliferation of infected cells and inhibit apoptosis<sup>(16)</sup>, cooperating in cell immortalization, maintenance and multiplication<sup>(17)</sup>. Another important regulatory protein is Tax, responsible for inducing viral proliferation and increase of genetic instability<sup>(18)</sup>. Also, HBZ and Tax function are related to expression regulation of accessory proteins<sup>(10)</sup> but HBZ antagonizes many Tax functions as the LTR trans-activation, negatively regulating this action<sup>(6,19,20)</sup>.

Besides, it was also found an isoform from HBZ protein, the HBZ-SI, generated by alternative splicing. Both have >95% similarity at the amino acid sequence, which can also imply in similar functions, and their difference is the N termini. Similar expression levels between the two forms of the protein were observed in ATL cells<sup>(21)</sup>. HBZ is constantly expressed by HTLV-1 infected cells<sup>(22)</sup> and therefore, it can maybe be used as a new target for therapeutic approach. HBZ cell localization appears to be exclusive in the cytoplasm in HAM/TSP patients while in ATLL individuals is seems to appear like dots in the nucleus as well as in the cytoplasm. It might be possible that HBZ sequence variation may interfere in the protein localization<sup>(23)</sup>.

Therefore, the present study aims to evaluate genetic variation of HTLV-1 *hbz* and LTR genomic regions from sequences originated from patients with four different symptomologies and whether the modifications influence the different clinical outcomes.

#### **Materials and Methods**

#### Study population

Samples were collected from HTLV-1 infected patients – AC and HAM/TSP – followed up at Centro de Atendimento ao Portador de HTLV (CHTLV) of Escola Bahiana de Medicina e Saúde Pública. It is important to highlight that the asymptomatic patients have mild symptoms that does not allow to classify them to a clinical status, but they do feel the impact of the infection in the physical and psychological health. Clinical status of all HTLV-1 patients was defined by the CHTLV neurologists following WHO criteria. Selected patients were invited to participate of the study and signed the informed consent form. Clinical and epidemiological data were obtained from the filed medical records at CHTLV. This study was approved by the Research Ethics Committee of Escola Bahiana de Medicina e Saúde Pública (approval number 464.286).

#### Sample collecting and processing

Blood samples were collected at CHTLV and transferred to Gonçalo Moniz Institute (IGM) where the peripheral blood mononuclear cells (PBMC) separation was performed at the same day. DNA from PBMC was extracted using a DNeasy Blood and Tissue Kit (Qiagen, Hilden, Germany) following manufactory instructions.

#### Polymerase Chain Reaction (PCR) and sequencing

The hbz and LTR regions were amplified through a PCR cycling, using Veriti Thermal Cycler (Applied Biosystems, United States), according to protocol previously published<sup>(24)</sup> using the primers 24+ (5'-CGTATCGCCTCCCTCGCGCCATCAGAGTATGCTGCCC AGAACAG-3') and 27- (5'-CTATGCGCCTTGCCAGCCCGCTCAGGGTTCCATGTATC CATTTCGGA-3') for hbz gene, and primers 30+ (5'-CGTATCGCCTCCCTCGCGCCATC AGCCAGCCATCTTTAGTACTACAGT-3') and 32- (5'-CTATGCGCCTTGCCAGCCCGC TCAGAGCCAACGGAG TCGC-3') for 3' LTR. Once the amplification of the interest region was confirmed by agarose gel electrophoresis, PCR products were purified using QIAquick PCR Purification Kit (Qiagen, Hilden, Germany) and sequenced using a BigDye Terminator v.3.1 Cycle Sequencing Kit (Applied Biosystems, Foster City, California, United States) with capillary electrophoresis run on an 3500xL Genetic Analyzer (Applied Biosystems, Foster City, California, United States) employing the same primers as used in amplification. Raw Sanger sequences files were used to assembly a consensus sequence for each sample, generating the CHTLV dataset. Sequence visualization, editing and assembly were performed using Geneious Prime 2020.1.1 (https://www.geneious.com).

#### Database sequence collection and dataset assembly

In order to characterize mutations of HTLV-1 hbz and LTR regions and compare the frequency of mutations between different clinical status from CHTLV dataset and data already published, we build a dataset from sequences available at Genbank (<u>https://www.ncbi.nlm.nih.gov/nuccore</u>) and HTLV-1 Molecular Epidemiology Database (https://htlv1db.bahia.fiocruz.br). Sequence visualization, editing and assembly were performed using Geneious Prime 2020.1.1 (https://www.geneious.com).

Genbank sequence search was performed using "HTLV-1 AND hbz/p8/p12/p30", "HTLV-1 AND LTR" and "HTLV-1 AND complete genome" as key words. For the HTLV-1 Molecular Epidemiology Database sequences search the regions of interest, LTR and hbz, were selected on the criteria box. After retrieving available data, sequences isolated from species other than human, sequences from different types of HTLV, duplicated sequences and those without clinical information were excluded and the remaining sequences were aligned with ATK1 (J02029.1) as reference. After the alignment, sequences that were in our genome regions of interest (hbz and LTR) were included.

#### HTLV-1 Genotyping

To identify the HTLV-1 Subtypes and Subgroup, phylogenetic analyses were performed with CHTLV and database LTR sequences. The sequences from each dataset were aligned to reference sequences (AF054627, AY342303-AY342304, AY818425, DO005552-DO005555, DO005564-DO005567, EF672333-EF672336, FJ853491, GU225731-GU225732, J02029, L02534, L76309, L76312, M37299, U12804-U12806, Y16476-Y16477, Y16479-Y16482, Y16484, Y16487 and Y17014) from all HTLV-1 subtypes and subgroups using MAFTT v. 1. Geneious (Katoh. 2013) implemented 4. 0 Standlev at Prime 2020.1.1 (https://www.geneious.com). Then, Maximum Likelihood (ML) trees were reconstructed using IQ-TREE v.1.6.12 (MINH et al., 2020) under TN+F+G4 nucleotide substitution modeling, inferred by ModelFinder implemented in the IQ-TREE software (KALYAANAMOORTHY et al., 2017). Ultrafast bootstrap analysis with 1000-replicate (HOANG et al., 2018) was used to calculate the statistical support of the tree branches. All trees were visualized and edit using FigTree v1.4.4 (http://tree.bio.ed.ac.uk/software/figtree/).

#### Genetic analysis of hbz and LTR

Geneious Prime annotation tool was used to find variations in both CHTLV and database datasets and TFScan plugin was used to map transcription factor binding sites at the LTR region. The found mutations and the transcriptional factors binding sites were then compared between CHTLV dataset and database dataset.

Physical-chemical profile and the search for post-translational modification against the PROSITE database was performed using PRABI platform (https://npsa-prabi.ibcp.fr/) to analyze whether HBZ mutations alter the protein characteristics comparing to the wild-type (ATK1). The physical-chemical profiles were plotted using GraphPad Prism 8.4.2 (GraphPad Software, San Diego, California, USA).

#### Statistical analyses

To detect if there were any differences in the mutation frequency in the different clinical groups, fisher's exact test was performed using GraphPad Prism 5.02 (GraphPad Software, San Diego, California, USA). The sequences were divided in the following groups: AC; HAM/TSP, ATLL and IDH. The statistical differences between the observed frequencies of the mutations was performed by pairs using AC as control against each clinical outcome (HAM/TSP, ATLL and IDH). A p-value < 0.05 was considered as statistically significant.

#### Results

#### Samples and sequences from CHTLV

Samples from 28 patients were included in order to perform PCR (hbz and LTR) and 15 samples have been successfully amplified for at least one region of interest. We obtained 15 sequences of hbz region and 14 sequences of LTR region. Sequencing quality of LTR region was not the same in all sequences, resulting in eight sequences with low coverage (145pb-248pb) and six sequences with medium/high coverage (481pb-651pb). Out of this, 13 were from HAM/TSP patients and two were AC.

#### hbz molecular characterization

All sequences from CHTLV successfully aligned with ATK1 hbz region. After aligning and performing variant calling a total of 27 mutations were detected at the 15 hbz sequences generated in this study, all of them classified as single nucleotide variants (SNVs). Six mutations are present in 100.0% of sequences, ten are present in frequencies above 10.0% and below 90.0% and 11 are present in only one sequence. Among the SNVs, 19 were synonymous mutations and eight are non-synonymous mutations, resulting in an amino acid change, one change resulting in a stop codon.

A total of 571 hbz sequences from database were included in the study after applying all the selection criteria and then aligned with ATK1 in the selected genome region. A total of 352 mutations were detected in the database dataset, 129 synonymous mutations and 223 non-synonymous mutations, after the variant calling. From the 27 CHTLV dataset mutations, 12 were present in the database dataset. Mutation frequency comparison between the CHTLV dataset and the database dataset is showed in Table 1.

To evaluate if any detected mutation were related to the clinical profile, CHTLV dataset was combined with de database dataset and all sequences were divided in groups according to clinical status: 211 were from AC patients, 297 from HAM/TSP, 67 from ATL and 11 from IDH.

A total of 13 common mutations were detected between the clinical groups, 136 mutations presented in at least 2 of the clinical groups, 97 mutations exclusives for HAM/TSP, 103 exclusives for AC, 16 exclusives for ATL and 2 exclusives for IDH. Most mutations found were not frequent among the sequences or did not present an amino acid change.

To identify if there were any differences in the mutation frequencies between the HAM/TSP, ATLL and IDH groups when compared to the AC group, the fisher exact statistical analysis was performed in all non-synonymous mutations in frequencies above 10,0%. Two mutations, V17M and R121Q, showed a difference in the mutation frequency in the comparison between AC and HAM/TSP groups, with statistical support (p<0,0001 and p=0,0005 respectively). The V17M was only detected at HAM/TSP group and this could indicate that it can possibly be a signature from this clinical group. For the IDH and ATL groups no difference in the frequency of the mutations were detected with statistical support.

The non-synonymous SNV R121Q were found in 53,3% of CHTLV dataset and 19,4% of database dataset. To understand the possible effect of this amino acid change on the protein structure, physicochemical analysis of HBZ was performed. According to the profile, the modifications in comparison to the wild-type are the reduction of the protein hydrophilicity, at the accessibility and antigenicity A at the mutation site, while hydropathy, flexibility, membrane buried-helix and antigenicity B have no changes (Figure. 1).

Non-synonymous mutation V17M was found only in database HAM/TSP group with 16.3% of frequency. This mutation appears to be responsible for a slight reduction of hydropathy and antigenicity B, while the other characteristics remains the same as the wild-type (Figure. 2).

When searched for post-transduction modification sites in HBZ, both mutations described showed no changes and neither created any site.

#### LTR molecular characterization

To avoid the subtype/subgroup classification bias, LTR sequences were used to identify the subtype of sequences from both datasets by phylogenetics analyses. All CHTLV sequences were classified as Cosmopolitan Transcontinental (HTLV-1 aA) (Figure 3) and database sequences were divided in other subtypes/subgroups besides HTLV-1 aA (data not shown). Only the database sequences from Cosmopolitan Transcontinental (HTLV-1 aA) were included in the study, totalizing 151 LTR database sequences.

After aligning and performing variant calling at the LTR CTHLV dataset, nine SNVs, one deletion and one insertion were found. In the database dataset, a total of 202 mutations were detected in the LTR region, being 169 mutations in frequencies below 10,0% or above 90,0%. Comparing mutations from both datasets, nine of 11 mutations found in CHTLV dataset were also found in the database dataset.

In order to find if any mutations in the CHTLV dataset were responsible for changing the transcriptional factors binding sites (TFBS), creating or abrogating sites, both CHTLV and database datasets were screened to identify the TFBS. Eight CHTLV sequences were excluded from this analysis due to low coverage. In total 32 TFBS were mapped, being four new added TFBS and five were absent due to presence of mutations (Table 2). One of the mutations (G418A) was present in a Sp1 binding site and its presence abrogated the Sp1 site and created a new ER binding site.

The same mapping for TFBS was performed to LTR database dataset and a total of 184 TFBS were found. From this total, 35 were new added TFBS and 25 TFBS were absent also due to mutation. No similar change between CHTLV TFBS and database TFBS were found.

#### Discussion

HTLV-1 infection in Brazil affects approximately 2.5 million individuals <sup>(3)</sup> being one of the epicenters in the world. It is important to remember that most infected individuals remain AC during the course of infections<sup>(4)</sup> and that this study aims to contribute in the identification of possible reasons why some of the patients evolve to a serious clinical status, as HAM/TSP. All data generated by CHTLV dataset were compared to database dataset to describe similarities between the two datasets.

In order to achieve this purpose, HTLV-1 genomic regions from HAM/TSP and AC samples were sequenced to identify HBZ protein mutations and TFBS at LTR region. From all HBZ non-synonymous mutations, two were found with different frequency between AC and HAM/TSP groups with statistical support, V17M and R121Q. Also, one mutation at LTR region (G418A) that changed TFBS and could affect the viral gene expression was detected<sup>(20)</sup>.

One mutation found in both CHTLV and database dataset, R121Q, is present in sequences from all clinical groups included in this study but is most frequent in AC group. Statistical analysis of mutation frequencies demonstrated that when comparing each group (HAM/TSP, ATL and IDH) to the AC group, only the comparison between AC and HAM/TSP were statistically significant while the other did not have statistical support.

The other mutation described in our HBZ analysis, V17M, appears to be a signature from HAM/TSP patients since it was not found in the AC group neither in sequences from the other clinical status included in this study and it has not been described yet. HBZ is an antisense protein, originated from reverse reading frame, and is homologous to the sense reading frame. So mutations found in this region can possibly interfere in the other proteins functions from pX gene<sup>(25)</sup>.

Both mutations resulted in some physicochemical alteration. Amino acid change V17M have altered hydropathy and antigenicity in the region where is located in the protein, slightly reducing these two properties. The other amino acid change, R121Q, alters hydrophilicity, accessibility and antigenicity, also reducing these properties in the region where is located. Since there was not found any reports for these mutations, a detailed analysis to understand if these alterations affect the protein function is needed.

Another important scenario is HBZ-Tax correlation, as the two proteins do not interact directly to one another, but HBZ can interfere Tax-dependent viral transcription through inhibition of the binding between Tax and transcription sites, as CREB and TxRE III, found to be mediated through the bzip domain from HBZ<sup>(15)</sup>. However, even the truncated form from HBZ lacking bzip domain it is still effective in down-regulating viral transcription, which suggests that other domain from HBZ is also capable of accomplish this effect<sup>(26)</sup>. So, it may be possible that mutations found in this region alter this function of HBZ.

Beyond the function of down-regulating the viral transcription<sup>(15)</sup>, HBZ has another function as inhibiting apoptosis through suppression of  $Bim^{(27)}$ , which is responsible for inducing apoptosis (intrinsic pathway), located in the cytoplasmatic membranes<sup>(28)</sup>. The suppression of *bim* gene occurs due to the inhibition of exportation of FoxO3a from the nucleus to the cytoplasm and so it cannot bind to the forkhead responsive element (FHRE) at *bim* promoter<sup>(27)</sup>. Therefore, the mutations are to be investigated whether they affect this HBZ function.

In agreement to what is shown by Dissinger et al (2014), that HBZ has post-translational modifications sites (PTMs), such as acetylation and phosphorylation ones, but the sites observed in the CHTLV dataset did not changed nor created any new sites. Also, HBZ has more

acetylation PTM once it interacts with acetyltransferases. Besides this, little is known about the PTMs and its function<sup>(29)</sup>.

The genotyping analysis from CHTLV dataset identified that all sequences are subtype Cosmopolitan and subgroup Transcontinental (aA), as it shown at ML tree, agreeing with previously studies<sup>(30,31)</sup>. That confirms that in Brazil this is the most common subtype/subgroup circulating. One of the reasons of the importance of subtyping is to exclude subtype-specific alterations that could give false results in comparing mutations and TFBS<sup>(10)</sup>.

HBZ transcription is dependent on the 3' LTR and Sp1 binding site is an important TFBS to this process<sup>(20)</sup>. Mapping these sites is essential to analyze whether the protein is being expressed and its function are in normal conditions. In this study we found an abrogation of one Sp1 binding site in one sequence of CHTLV dataset caused by the G418A mutation, which changed a Sp1 to an ER binding site (Table 2). Missing Sp1 sites were also detected in the database dataset. A thorough analysis is needed to comprehend how this alteration can affect HBZ expression.

All the findings in this study are to be validated once more sequences are generated and analyzed. It is important to increase the number of LTR sequences and reach a similar sample number from two principal groups compared (AC and HAM/TSP). One of the possible explanation for most our hbz sequences being from HAM/TSP patients is that hbz expression may not occur in AC or if it is expressed it is in too low levels<sup>(32)</sup>. Furthermore, functional tests comparing wild-type and mutated protein may be a way to elucidate if mutations found in the sequences studied altered any protein functions.

### Conclusion

This study identified two possible mutations in hbz region with amino acid change, V17M and R121Q, that can imply in HBZ protein function and also possibly change other proteins functions. Besides this, the mutations can possibly be used as a prognostic factor for the development of HAM/TSP, once the mutations showed statistical support when comparing AC and HAM/TSP, being one exclusive to the HAM/TSP sequences. In addition, abrogation of transcription factors binding sites were observed, as the Sp1 abrogation in some LTR sequences, and it may be important to genes expression, as hbz.

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Figure 1. Physicochemical profile analysis of R121Q mutation, in red, versus wild-type, in black

Figure 2. Physicochemical profile analysis of V17M mutation, in red, versus wild-type, in black.

Figure 3. Rooted Maximum Likelihood tree of 14 HTLV-1 sequences based on a 760pb LTR region. ML tree with 14 new sequences (names are in bold) and 36 references based on a 760pb LTR region. Sequence L02534 (Isolated Mel 5) was used as an outgroup to root the tree.

Table 1. Common hbz mutations and their frequency between CHTLV and database datasets for each clinical group.

Nucleotide	Nucleotide	AA	Variant Frequency CHTLV		Variant Frequency Database			
Position*	Change	change	HAM/ TSP (n=13)	AC (n=2)	HAM/ TSP (n=284)	AC (n=209)	ATLL (n=67)	IDH (n=11)
613	$G \rightarrow A$	A9V	100.0%	100.0%	97.8%	100.0%	90.0%	100.0%
596	$A \rightarrow G$	**	84.6%	100.0%	28.6%	65.4%	30.0%	80.0%
587	$A \rightarrow G$	S18P	100.0%	100.0%	98.0%	100.0%	90.0%	100.0%
501	$T \rightarrow C$	**	84.6%	100.0%	7.5%	20.8%	9.8%	80.0%
477	$G \rightarrow A$	**	***	50.0%	1.9%	2.8%	***	***
447	$T \rightarrow C$	**	76.9%	100.0%	18.9%	45.4%	17.9%	90.9%
363	$T \rightarrow C$	**	76.9%	100.0%	19.3%	44.5%	17.9%	81.8%
357	$T \rightarrow C$	**	15.4%	***	5.3%	9.6%	1.5%	***
327-328	$GG \rightarrow AA$	T104I	100.0%	100.0%	100.0%	97.1%	98.5%	100.0%
312	$T \rightarrow C$	**	***	50.0%	1.1%	0.5%	***	***
277	$C \rightarrow T$	R121Q	53.8%	50.0%	14.4%	29.2%	13.4%	18.2%
261	$G \rightarrow A$	**	23.0%	***	6.7%	12.4%	1.5%	***
249	$A \rightarrow G$	**	100.0%	100.0%	98.6%	96.2%	98.5%	***
248	$T \rightarrow C$	R131G	7.7%	***	***	0.5%	***	***
248	$T \rightarrow G$	**	92.3%	100.0%	55.4%	74.2%	41.8%	***
243	$C \rightarrow T$	**	100.0%	100.0%	53.7%	71.8%	41.8%	100.0%
147	$C \rightarrow T$	**	84.6%	100.0%	11.5%	31.1%	11.5%	80.0%
86	$T \rightarrow G$	**	76.9%	100.0%	24.4%	50.0%	30.0%	80.0%
57	$C \rightarrow T$	**	100.0%	100.0%	95.6%	90.0%	65.0%	100.0%

\*Position based on hbz region from ATK1 (J02029.1).

\*\*Synonymous mutations with no amino acid change.

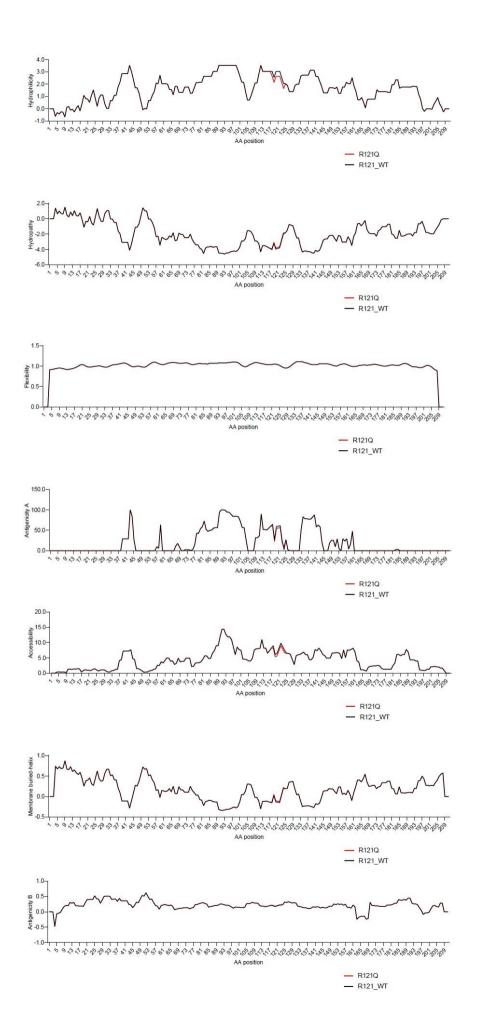
\*\*\*Mutation not found in this clinical status.

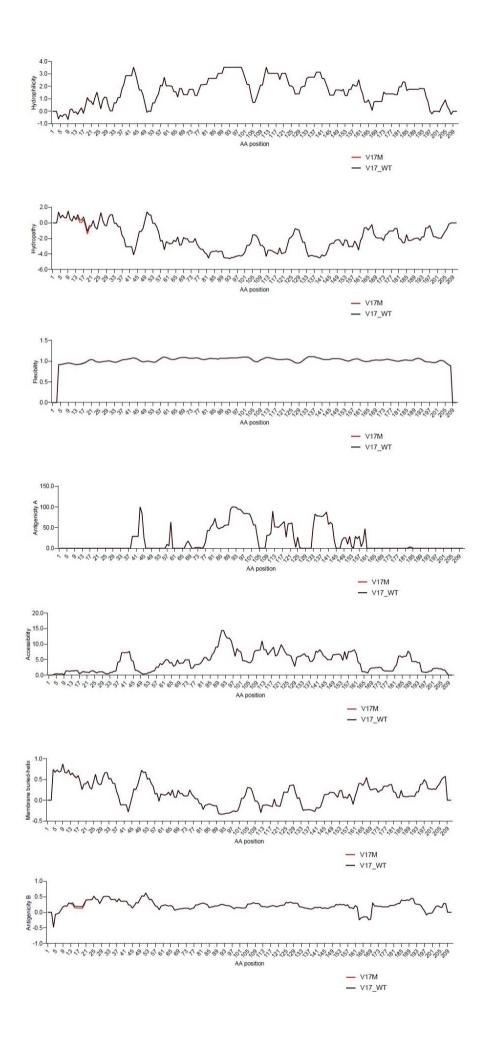
AA: amino acid. AC: asymptomatic carrier. ATL: Adult T-cell Leukemia. CHTLV: Centro de Atendimento ao Portador do HTLV. HAM/TSP: HTLV-1-associated myelopathy/tropical spastic paraparesis. IDH: Infective Dermatitis Associated with HTLV-1.

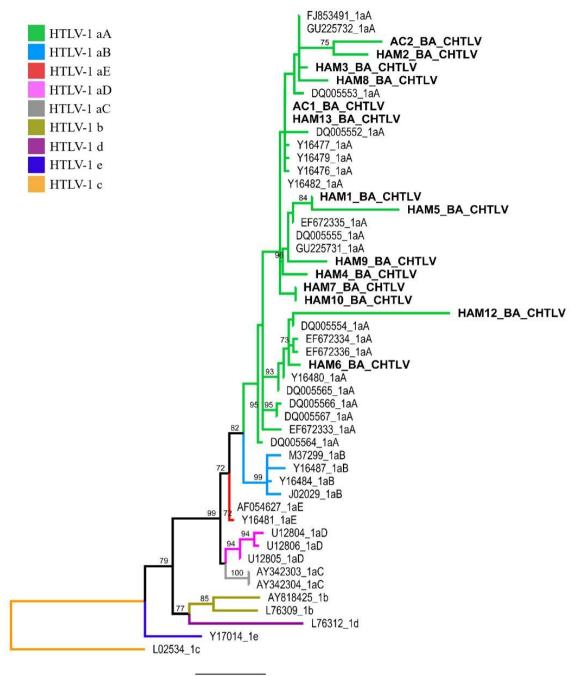
Mutation position	Change	TFBS by TFSCAN	TFBS change	CHTLV(n=6)
178	$G \rightarrow A$	TFIID (173-178)	Creation	1/5
275	$T \rightarrow C$	ATF (270-275)	Abrogation	1/6
331	$C \rightarrow T$	gammaCAC2 (227-331)	Abrogation	1/6
331	$C \rightarrow T$	CACCC-binding factor (327-331)	Abrogation	1/6
331	$C \rightarrow T$	CACCC-binding factor (331-335)	Abrogation	1/6
418	$G \rightarrow A$	Sp1 (418-424)	Abrogation	1/6
418	$G \rightarrow A$	ER (418-424)	Creation	1/6
475	$C \rightarrow T$	CACCC-binding factor (472-476)	Creation	1/6
477	$C \rightarrow T$	C-Est-2 (477-482)	Creation	1/6

Table 2. Frequency of transcription factors binding sites modification due tomutations in six CTHLV LTR sequences.

TFBS: transcription factors binding sites.







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#### 2. Proposta de Submissão

#### 2.1. Revista: AIDS Research and Human Retroviruses

- 2.2. Instruções:
  - 2.2.1. File Naming: All file names should be in English and contain only alphanumeric characters. Do not include spaces, symbols, special characters, dashes, dots, or underscores. Label each file with the first author's last name, followed by the content of the file (ie: SmithText; SmithTables; SmithFigureLegends; SmithFig1, etc). <u>Important:</u> Please upload individual files of all manuscript material as described herein please do NOT upload a combined PDF file containing all material in your submission.
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    - 2.2.2.2. Abstract
    - **2.2.2.3.** Main text (do not embed figures or tables)
    - **2.2.2.4.** Conclusion (as a separate paragraph, not as part of the Discussion section)
    - **2.2.2.5.** Acknowledgments (if applicable)
    - **2.2.2.6.** Sequence Data / Accession Number(s)
    - 2.2.2.7. Authorship confirmation statement
    - **2.2.2.8.** Author(s') disclosure statement(s)
    - **2.2.2.9.** Funding statement (see below)
    - **2.2.2.10.** References
    - 2.2.2.11. Figure legends

2.2.2.12. Tables

**2.2.2.13.** Supplemental files (if applicable; supplied in Word, not as a PDF)

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  - **2.2.4.1.** *Journal article:* Hendrix CW,Andrade A, Bumpus NN, Kashuba AD, Marzinke MA, Moore A et al. Dose frequency ranging pharmacokinetic study

of tenofovir-emtricitabine after directly observed dosing in healthy volunteers to establish adherence benchmarks (HPTN 066). AIDS Res Hum Retroviruses 2015;32(1):32-43.

- **2.2.4.2.** *Book:* Vicenzi E, Poli G. Human Retroviruses: Methods and Protocols (Methods in Molecular Biology). Totowa, NJ: Human Press (Springer) 2014.
- 2.2.4.3. Chapter in a book: Cimarelli A, Darlix J-L. HIV-1 Reverse transcription. In: Human Retroviruses: Methods and Protocols (Methods in Molecular Biology), (Vicenzi E, Poli G.,eds.) Totowa, NJ: Human Press (Springer) 2014; pp. 55-70.
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